

## REPORT DOCUMENTATION PAGE

AD-A205 170

REF ID: A71989

## 1b. RESTRICTIVE MARKINGS

## 3. DISTRIBUTION/AVAILABILITY OF REPORT

Approved for public release;  
distribution unlimited.

## 4. PERFORMING ORGANIZATION REPORT NUMBER(S)

D Cg ARO 22281.24-MS

## 6a. NAME OF PERFORMING ORGANIZATION

California Inst of Tech.

6b. OFFICE SYMBOL  
(if applicable)

## 7a. NAME OF MONITORING ORGANIZATION

U. S. Army Research Office

## 6c. ADDRESS (City, State, and ZIP Code)

Pasadena, CA 91125

## 7b. ADDRESS (City, State, and ZIP Code)

P. O. Box 12211  
Research Triangle Park, NC 27709-22118a. NAME OF FUNDING/SPONSORING  
ORGANIZATION

U. S. Army Research Office

8b. OFFICE SYMBOL  
(if applicable)

## 9. PROCUREMENT INSTRUMENT IDENTIFICATION NUMBER

DAAG29-85-K-0192

## 8c. ADDRESS (City, State, and ZIP Code)

P. O. Box 12211  
Research Triangle Park, NC 27709-2211

## 10. SOURCE OF FUNDING NUMBERS

PROGRAM ELEMENT NO.	PROJECT NO.	TASK NO.	WORK UNIT ACCESSION NO.
---------------------	-------------	----------	-------------------------

## 11. TITLE (Include Security Classification)

Characterization of Diffusion Barriers for Metallization Systems

13a. TYPE OF REPORT Final	13b. TIME COVERED FROM 7/18/85 TO 11/30/88	14. DATE OF REPORT (Year, Month, Day) December 30, 1988	15. PAGE COUNT 7
------------------------------	---	--	---------------------

16. SUPPLEMENTARY NOTATION  
The view, opinions and/or findings contained in this report are those of the author(s) and should not be construed as an official Department of the Army position, policy, or decision unless so designated by other documentation.

## 17. COSATI CODES

FIELD	GROUP	SUB-GROUP	18. SUBJECT TERMS (Continue on reverse if necessary and identify by block number)
			Diffusion Barriers, Metallization Systems, Semiconductors, Metal Film, Thermodynamic Stability.

## 19. ABSTRACT (Continue on reverse if necessary and identify by block number)

A search for effective diffusion barriers for semiconductor metallization systems that was carried out under this contract and can be conveniently classified in two categories: diffusion barriers that are thermodynamically stable with respect to reactions with the underlying substrate and the overlying metal film, and diffusion barriers that are thermodynamically unstable with respect to these adjacent media. Two contacting systems have been used as prototypes: silicon with an aluminum metallization, and GaAs with Ag or Au metallization. This report contains the results of this effort.

## 20. DISTRIBUTION/AVAILABILITY OF ABSTRACT

 UNCLASSIFIED/UNLIMITED    SAME AS RPT.    DTIC USERS
 

## 21. ABSTRACT SECURITY CLASSIFICATION

Unclassified

## 22a. NAME OF RESPONSIBLE INDIVIDUAL

## 22b. TELEPHONE (Include Area Code)

## 22c. OFFICE SYMBOL

## Final Report

ARO Contract Number DAAG29-85-K-0192

15 July 1985 - 30 November 1988

CHARACTERIZATION OF DIFFUSION BARRIERS FOR  
METALLIZATION SYSTEMS

Accession For	
NTIS CRA&I	<input checked="" type="checkbox"/>
DTIC TAB	<input type="checkbox"/>
Unannounced	<input type="checkbox"/>
Justification _____	
By _____	
Distribution / _____	
Availability Codes	
Dist	Avail and/or Special
A-1	

Marc-A. Nicolet

California Institute of Technology  
Pasadena CA 91125

December 30, 1988



89 2 19 030

The search for effective diffusion barriers for semiconductor metallization systems that was carried out under this contract can be conveniently classified in two categories: diffusion barriers that are thermodynamically stable with respect to reactions with the underlying substrate and the overlying metal film, and diffusion barriers that are thermodynamically unstable with respect to these adjacent media. Two contacting systems have been used as prototypes: silicon with an aluminum metallization, and GaAs with Ag or Au metallization.

Only the Si/Al contact system was considered in the search for a thermodynamically stable diffusion barrier. Little progress was accomplished along that line. One reason is that both Si and Al are metallurgically quite reactive elements. This fact narrowly limits the number of acceptable materials. Of all materials we have investigated under this contract, only  $\text{VB}_2$  qualifies as a compound that may be stable in contact with Si or Al. (The experimental evidence at hand is consistent with thermodynamic stability, but insufficient to prove it.) Another reason for the slow progress is the limited effort we spent on the search for a thermodynamically stable compound. There are no fundamental reasons why a good and thermodynamically stable diffusion barrier should not exist for the Si/Al metallization system. But what this diffusion barrier should be remains an open question.

Much has been accomplished in developing good diffusion barrier schemes with thermodynamically unstable materials. At the start of the contract, one of the best diffusion barriers available was a reactively sputtered TiN. For the Si/Al contact system, failure temperatures of TiN barriers are in the range of 550°C or less for a 30 min heat treatment.

Our investigations have covered four distinct types of barriers. They are listed in the table below in ascending order of effectiveness for the Si/Al contact system, as measured by the two monitoring techniques of RBS (which senses atomic instabilities) and reverse saturation current of shallow pn junctions (which senses electrical instabilities of the contact). The TiN barrier is included in the table for reference.

Amorphous transition metal alloys fare poorly compared to TiN. The reason is that they typically contain an early and a late transition metal and that the former tends to react strongly with Al, while the latter readily reacts with Si. We believe that good amorphous diffusion barriers can be found, but they will have to come from a different class of amorphous metallic alloys. The subject deserves further study.

Tungsten nitride deposited by reactive sputtering is superior to reactively sputtered TiN. This finding is a major result of our research. The sputtering conditions are less critical for  $W_xN_{1-x}$  than they are for TiN which constitutes another practical advantage of the  $W_xN_{1-x}$  barrier. On the basis of our findings, we predict a promising future for applications of tungsten nitride diffusion barriers.

Our most outstanding results have been recorded with reactively sputtered conducting oxides. The three oxides we have tested so far are all excellent even though they are dissimilar in their chemical properties and in their mechanism of conduction. Their common property is that they are all thermodynamically unstable in contact with Al. Cross-sectional transmission electron micrographs indeed confirm that a very thin layer develops upon annealing at the interface between Al and the oxides. The selfsealing nature of this reaction must be a reason for the excellent results. It is too early to conclude that oxides are useful as diffusion barriers in the Al/Si contact because the forward characteristic of pn junctions does show a degradation for the same treatment that leaves the reverse saturation current unchanged. The reason is under investigation, but it is probably that the interfacial aluminum oxide layer becomes too thick for tunneling to accomodate the current. The subject is clearly one that needs to be pursued, because the stability recorded with oxide barriers for reverse-biased junctions is truly outstanding.

Barrier Type	Implementation	Failure Temperature (°C) (after 30 min annealing)	Saturation Current
	RBS		
amorphous transition metal alloys	$W_{70}Zr_{30}$	500	500
	$W_{40}Zr_{60}$	500	500
interstitial alloys	TiN	550	500
	$W_{75}C_{25}$	500	
	$V_{20}B_{80}$	550	550
	$W_{80}N_{20}$	600	600
	$W_{60}N_{40}$	600	600
oxides	$Mo_{80}O_{20}$	550	>600
	$RuO_2$	>650	
	$In_2O_3$	>600	>650

Ohmic contacts to both p- and n-type GaAs have been studied with diffusion barriers of interstitial alloys and conducting oxides. All cases yield far superior stability than the conventional GaAs/Ni-Ge-Au contacting scheme that does not contain a diffusion barrier. The latter one fails after 10 min at 450°C. All the contact systems that we tested withstood 550°C for 30 min at least (GaAs substrate with TiN/Ag), or 60 min and longer (GaAs substrate with  $W_{60}N_{40}/Ag$  or  $Mo_{80}O_{20}/Al$  or Ni-Ge/ $W_{60}N_{40}/Au$ ). Among these, only the Ni-Ge/ $W_{60}N_{40}/Au$  contacting scheme had a contact resistivity that is comparable with the  $10^{-6}$  Ohmcm<sup>2</sup> of the conventional alloyed Ni-Ge-Au scheme. The problem that needs to be addressed with GaAs contacting schemes is therefore that of developing suitable contacting layers. Once this is accomplished, we believe that it should be possible to borrow much of what has already been learned regarding diffusion barriers on silicon contacts and design reliable metallization schemes for GaAs that much surpass the alloyed contact now commonly used.

Because of the potential practical significance we foresee for tungsten nitride and conducting oxide thin films, we have established in detail how the sputtering conditions affect the deposition and the film. We discovered in the process that the materials fall in two clearly distinct groups;  $RuO_2$  (and TiN) on one side, and  $W_xN_{1-x}$  (and  $Mo_xO_{1-x}$ ) on the other. The reasons are not well understood. Considering the importance of sputtering as a deposition process for thin films in general, this observation suggests new directions of very relevant investigations.

The following individuals have participated in the activities of this contract under full or partial support or as collaborators:

Affolter, K.	collaborator, research fellow at Caltech
Flick, W.j.	undergraduate student, Caltech
Kattelus, H.P.	research fellow, Caltech
Kolawa, E.	research fellow, Caltech
Lien, C.D.	collaborator, Advanced Micro Devices
Maddock, J.M.	collaborator, McDonnel Douglas
Molarius, J.M.	research fellow, Caltech
Nicolet, M-A.	professor, Caltech
Nieh, C.W.	collaborator, research fellow at Caltech
Pan, E.T.S.	graduate student, Caltech
So, F.C.T.	graduate student, Caltech
Tandon, J.L.	visiting associate at Caltech, McDonnel Douglas
Thuillard, M.	collaborator, research fellow at Caltech
Tran, L.	graduate student, Caltech
Yang, H.Y.	research fellow, Caltech
Zhao, X.-A.	collaborator, research fellow at Caltech
Zhu, M.F.	collaborator, research fellow at Caltech

Two PhD Theses were completed during the course of this contract:  
Hannu Kattelus, June 3, 1988: "Diffusion Barriers in  
Semiconductor Contact Metallizations"  
Frank C.T. So, July 31, 1988: "Diffusion Barriers for VLSI  
Applications"

The papers published with acknowledgements to this ARO contract  
are listed in the appendix.

## APPENDIX

Characterization of Reacted Ohmic Contacts to GaAs, H.P. Kattelus, J.L. Tandon & M-A. Nicolet, Solid State Elec. vol. 29 (9), 903-905 (1986).

Metalization Systems for Stable Ohmic Contacts to GaAs, J.L. Tandon, K.D. Douglas, G. Vendura, E. Kolawa, F.C.T. So & M-A. Nicolet in "Tungsten and Other Refractory Metals for VLSI Applications" edited by R.S. Blewer, MRS Proc. (Pittsburgh 1986) p. 331-340.

Amorphous W-Zr Films as Diffusion Barriers Between Al and Si, F.C.T. So, X-A. Zhao, E. Kolawa, J.L. Tandon, M.F. Zhu, & M-A. Nicolet, in "Interfaces & Phenomena" eds. Nemanich et al. MRS Proc. vol. 54, p. 139-145 (1986).

Reactively Sputtered W-N Films as Diffusion Barriers in GaAs Metallizations E. Kolawa, F.C.T. So, J.L. Tandon, & M-A. Nicolet, J. Electrochemical Soc. vol. 134 (7) pp. 1759-1763 (1987).

Reaction of Thin Metal Films with Crystalline and Amorphous Al<sub>2</sub>O<sub>3</sub>, X-A. Zhao, E. Kolawa, & M-A. Nicolet, J. Vac. Sci. Tech. A 4 (6), 3139-3141 (1986).

Thermal Stability and Nitrogen Redistribution in the (Si)/Ti/W-N/Al Metallization Scheme F.C.T. So, E. Kolawa, H.P. Kattelus, X-A. Zhao, & M-A. Nicolet, J. Vac. Sci. Tech. A 4 (6), 3078-3081 (1986).

W-N Alloys as Diffusion Barriers, H.P. Kattelus, K. Affolter, E. Kolawa, M-A. Nicolet, Ion & Plasma Assisted Techniques workshop on Semiconductor Tech. Uppsala, Sweden (1986).

Solid-Phase Ohmic Contact to p-GaAs with W and W-N Diffusion Barriers F.C.T. So, E. Kolawa, J. Tandon, M-A. Nicolet, J. Electrochem. Soc. vol. 134, no. 7, p. 1755-58 (1987).

Properties of Reactively Sputtered WN<sub>x</sub> Films E. Kolawa, F.C.T. So, X-A. Zhao & M-A. Nicolet, in "Tungsten & Other Refractory Metals for VLSI, II" editor E. Broadbent, MRS Pittsburgh p. 311 (1987).

Thermal Stability and Nitrogen Redistribution in the Ti/W-N Bilayer F.C.T. So, C.D. Lien, E. Kolawa, X-A. Zhao, M-A. Nicolet in "Tungsten and Other Refractory Metals for VLSI, II" ed. E. Broadbent MRS Pittsburgh, p. 301 (1987).

Reactively Sputtered RuO<sub>2</sub> Diffusion Barriers E. Kolawa, F.C.T. So, E.T.S. Pan, M-A. Nicolet, Appl. Phys. Lett. 50 (13), 854-855 (1987).

W-N and RuO<sub>2</sub> Thin Films for Diffusion Barriers in Al Contacts to Si E. Kolawa, M-A. Nicolet & F.C.T. So, Le Vide Les Couches Minces vol. 42, no. 236, pp. 171-173 (1987) (workshop on Refractory Metals & Silicides, Aussois France March '87).

Thin Film Diffusion Barriers for Metal-Semiconductor Contacts M-A. Nicolet in "Tungsten & Other Refractory Metals for VLSI, II" MRS Pittsburgh, p. 19 (1987).

CoSputtered W<sub>75</sub>C<sub>25</sub> Thin-Film Diffusion Barriers H.Y. Yang, X-A. Zhao, M-A. Nicolet, Thin Solid Films vol. 158 I p. 45-50.

Characterization of CoSputtered Tungsten Carbide Thin Films H.Y. Yang, X-A. Zhao, M-A. Nicolet, Thin Solid Films vol. 158 I p. 37-44.

WN<sub>x</sub>: Properties and Applications F.C.T. So, E. Kolawa, X-A. Zhao, M-A. Nicolet Thin Solid Films 153 p. 507-520, (1987).

Reactively Sputtered RuO<sub>2</sub> and Mo-O Diffusion Barriers: Summary F.C.T. So,  
E. Kolawa, X-A. Zhao, E.T.S. Pan, M-A. Nicolet, J. Vac. Sci. Tech. B. Vol. 5  
p. 1748-1749 (1987).

Reactive Sputtering of RuO<sub>2</sub> Films E. Kolawa, F.C.T. So, X-A. Zhao, E.T.S. Pan,  
M-A. Nicolet, Thin Solid Films.

Properties of Reactively Sputtered Mo<sub>1-x</sub>O<sub>x</sub> Films F.C.T. So, E. Kolawa, C.W. Nieh,  
X-A. Zhao, M-A. Nicolet, Appl. Phys. A. 45 265-270 (1988).

Stable Solid-Phase Ohmic Contacts to n-GaAs with Diffusion Barriers E. Kolawa,  
C.W. Nieh, W. Flick, J.M. Molarius, M-A. Nicolet, J.L Tandon, J.H. Madok, F.C.T. So.  
Mat. Res. Soc. Symp. Spring 1988.

W<sub>x</sub>N<sub>1-x</sub> Alloys as Diffusion Barriers Between Al and Si F.C.T. So, E. Kolawa,  
X-A. Zhao, E.T.S. Pan, M-A. Nicolet, J. Appl. Phys. vol. 64 p. 2787 (1988).

Reactively Sputtered Indium Oxide Diffusion Barriers E. Kolawa, C.W. Nieh,  
J.M. Molarius, L. Tran, W. Flick, C. Garland, M-A. Nicolet, F.C.T. So, & J.C.S. Wei,  
ICMC Spring mtg. (1988).

Chemical Stability of Vanadium Boride with Aluminum E. Kolawa, J.M. Molarius,  
W. Flick, C.W. Nieh, L. Tran, M-A. Nicolet, F.C.T. So, J.C.S. Wei. ICMC Spring mtg. 1988.

Al<sub>3</sub>Ti Formation by Diffusion of Al Through Ti M. Thuillard, L. Tran, M-A. Nicolet  
ICMC Spring mtg. 1988.

Stable Solid-Phase Contact to n-GaAs E. Kolawa, W. Flick, C.W. Nieh, J.M. Molarius,  
M-A. Nicolet, J.L. Tandon, J.H. Madok, IEEE Electron Device Letters.